

PATENT

Case Docket No. UC072.001A

Date: April 15, 2002

APR 15 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : WU et al.  
Appl. No. : 09 990,613  
Filed : November 21, 2001  
For : COMPOSITIONS AND  
METHODS FOR THE  
ANALYSIS OF MUCIN  
GENE EXPRESSION AND  
IDENTIFICATION OF  
DRUGS HAVING THE  
ABILITY TO INHIBIT  
MUCIN GENE  
EXPRESSION

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

April 15, 2002

(Date)

Ginger R. Dreger, Reg. No. 33,055

Examiner : Unknown

Art Unit : 1645

TRANSMITTAL LETTER

UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Request for Refund Under 37 C.F.R. § 1.28(a) with enclosure.
- (X) A Verified Statement (Declaration) Claiming Small Entity Status for a Nonprofit Organization.
- (X) Return prepaid postcard.
- (X) The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment or refund, to Account No. 11-1410.

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

APR 19 2002

# FILE

## UTILITY/DESIGN PATENT

(Missing Parts)

DATE OF O.A.: January 18, 2002

DATE: February 1, 2002

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

Atty. Dkt. # UCC 72-001A A/N: 09/990, 613 Filed: November 21, 2001

Applicant: Hill et al.

Atty: GRD/ESTD

Title: Compositions and Methods for the Analysis...

VERIFIED BY: Asst: M.H.

Quality Control:

☒ Transmittal Letter in Duplicate

☒ Preliminary Amendment in 5 pgs. **CB**

☒ Copy of Notice of Missing Requirements

☐ Copy of Original Specification and

☐ Decl. and Power of Attorney

Drawings

☒ Decl. by Inventor(s)

☒ Twelve sheets of marked-up

☒ Power of Atty. by Assignee; Copy of Assignment

drawings and

☐ Ext. of Time Requested

twelve sheets of

☐ Small Entity Statement(s)

up face ment drawings

☐ Letter Requesting Refund in dupl.

(Fig. 6 and 10-12).

☒ \$ 130 Check for Filing Fee Missing Parts Surcharge

☒ Information Disclosure Statement; PTO 1449 w/ 47 Ref(s).

☒ Sequence listing in 16 pages in paper and

☒ Return Postcard

computer-readable format.

☒ Sequence submission statement

6473

## KNOBBE MARTENS OLSON & BEAR

PATENT ACCOUNT  
620 NEWPORT CENTER DR., 16TH FLOOR  
NEWPORT BEACH, CA 92660

DATE January 31, 2002 <sup>2002 m.l.</sup>  
<sub>11-24 1147 7213</sub>

PAY  
TO THE  
ORDER OF

COMMISSIONER OF PATENTS

\$ 130.00/100

One hundred thirty and 00/100

DOLLARS



Wells Fargo Bank, N.A.  
www.wellsfargo.com

FOR: U55N 09/990,613 UCC 72.001A

Mari Fleizend

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	WU et al.	)	Art Unit: 1645
			)	
Application No.	:	09-990,613	)	
			)	
Filed	:	November 21, 2001	)	
			)	
For	:	COMPOSITIONS AND	)	
		METHODS FOR THE	)	
		ANALYSIS OF MUCIN GENE	)	
		EXPRESSION AND	)	
		IDENTIFICATION OF DRUGS	)	
		HAVING THE ABILITY TO	)	
		INHIBIT MUCIN GENE	)	
		EXPRESSION	)	
			)	

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**REQUEST FOR REFUND UNDER 37 C.F.R. § 1.28(a)**

UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 2327  
Arlington, VA 22202

Attention: Office of Finance, Refund Section

Dear Sir:

The Regents of the University of California, the assignee of the above-referenced patent application, through its attorneys, hereby request a refund of \$65.00 under 37 C.F.R. § 1.28(a). This amount represents the difference between large entity and small entity fees for the filing of an application with an unsigned declaration, and where the signed documents were timely provided in the Response to Notice to File Missing Parts.

The Response to Notice to File Missing Parts for the present Application was filed on February 1, 2002, where the fee paid was \$130 as a large entity. Applicants enclose herewith a Verified Statement Claiming Small Entity Status under 37 C.F.R. § 1.27(c). Applicants respectfully request the refund of \$65 of the fee paid, as this assertion of small entity status and request for refund are made within 3 months of the timely payment of the full fee.

Application No. : 09/990,613  
Filed : November 21, 2001


A copy of Check No. 6473 in the amount of \$130.00, that was sent with the Response to the Notice to File Missing Parts on February 1, 2002 is enclosed.

Please credit the amount of \$65.00 to the undersigned's Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: April 15, 2002

By:   
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(415)954-4114

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